

On the number of two-dimensional threshold functions

Max A. Alekseyev
<maxal@cse.sc.edu>

Department of Computer Science and Engineering
University of South Carolina
315 Main St., Columbia, SC 29208

April 19, 2009

A two-dimensional threshold function of k -valued logic can be viewed as coloring of the points of a $k \times k$ square lattice into two colors such that there exists a straight line separating points of different colors. For the number of such functions only asymptotic bounds are known. We give an exact formula for the number of two-dimensional threshold functions and derive more accurate asymptotics.

1 Introduction

A function f of n variables of k -valued logic is called a *threshold function* if it takes two value 0 and 1 and there exists a hyperplane separating the pre-images $f^{-1}(0)$ and $f^{-1}(1)$. Threshold functions have been studied from the perspectives of electrical engineering [6], neural networks [3], combinatorial geometry [1, 8, 4], and learning theory [11, 15].

Computing the number $P(k, n)$ of n -dimensional k -valued threshold functions turns out to be a hard problem, even in the case of $k = 2$. The number $P(2, n)$ corresponding to n -dimensional boolean threshold functions was studied in a number of publications [14, 7, 8, 18]. Despite of many efforts, the exact values of $P(2, n)$ is known only for $n \leq 8$ (sequence A000609 in [12]). Asymptotic of $P(2, n)$ was found in [16, 17]. Computing $P(k, n)$ for $k > 2$ appears to be even a harder problem. Known results on the number $P(k, n)$ for $k > 2$ are mostly of asymptotic nature [5, 4]. A particular case of two-dimensional threshold functions (i.e., for $n = 2$) was studied in [11]. Shevchenko [10] states the following asymptotic bounds

$$\frac{3}{8\pi^2}k^4 \lesssim P(k, 2) \lesssim \frac{6}{\pi^2}k^4.$$

In this paper we prove an exact formula for $P(k, 2)$ and derive a more accurate asymptotic.

The paper is organized as follows. In Section 2 we give a rigorous definition and examples of two-dimensional threshold functions. The exact formula for $P(k, 2)$ is obtained in Section 3. Asymptotics for $P(k, 2)$ are given in Section 4. Finally, in Section 5 we discuss connection to teaching sets and pose related open problems.

2 Two-dimensional threshold functions

We consider the problem of computing of $P(k, 2)$ in a slightly more general form, allowing the arguments of two-dimensional threshold functions take different number of integer values. The precise definition follows.

Let $m, n \in \mathbb{N}$ be positive integers and \mathcal{K} be a rectangular area on a plane bounded by the lines $x = 0, x = m, y = 0, y = n$ with an integer interior \mathcal{K}_0 , i.e., $\mathcal{K} \stackrel{\text{def}}{=} [0, m] \times [0, n]$ and $\mathcal{K}_0 \stackrel{\text{def}}{=} \mathcal{K} \cap \mathbb{Z}^2$.

Definition 1. A (two-dimensional) threshold function on \mathcal{K}_0 is a function $f : \mathcal{K}_0 \rightarrow \{0, 1\}$ not identically 0 or 1 such that there exists a line $\ell = ax + by + c \equiv 0$ satisfying

$$f(x, y) = 0 \quad \Longleftrightarrow \quad ax + by + c \leq 0.$$

We say that the line ℓ defines the threshold function f .

Examples of threshold functions are given in Fig. 1.

Let $N(m, n)$ be the number of all threshold functions on \mathcal{K}_0 . Our goal is to find an exact formula and an asymptotic for $N(m, n)$. That will immediately imply similar results for $P(k, 2)$ since $P(k, 2) = N(k - 1, k - 1)$.

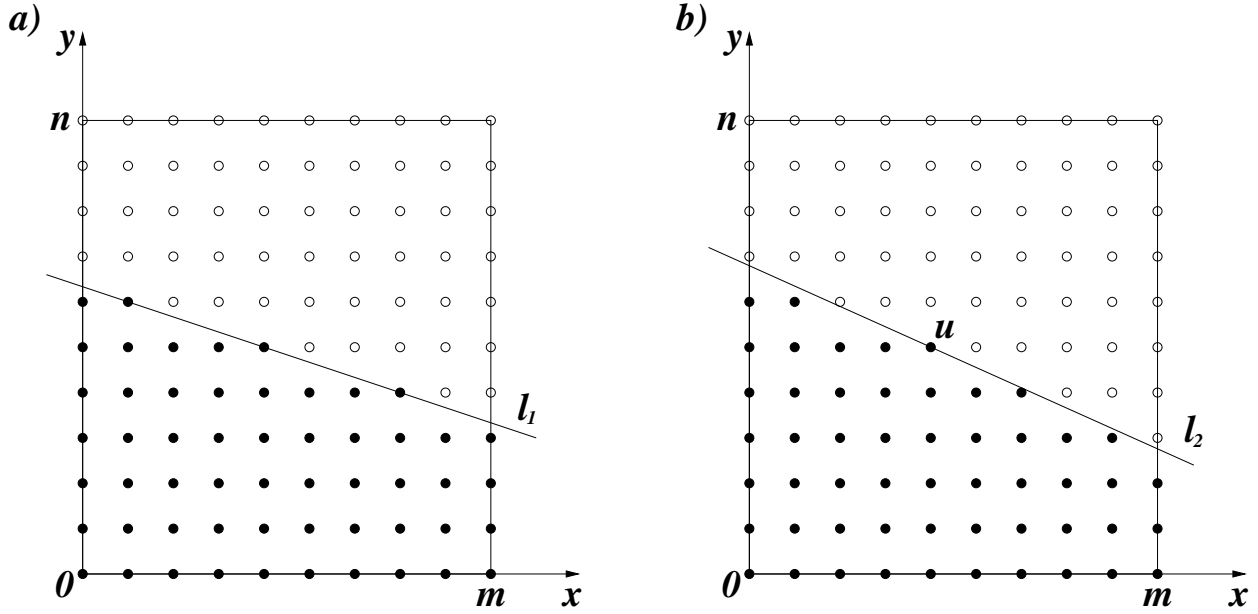


Figure 1: Examples of threshold functions defined by lines ℓ_1 and ℓ_2 (the filled dots correspond to zero values). a) The line ℓ_1 defines a stable threshold function. b) The line ℓ_2 defines an unstable threshold function with a vertex at u .

3 Exact formulas for $N(m, n)$ and $P(k, 2)$

In this section we will prove the following theorem:

Theorem 2. *The total number of 2-D threshold functions is*

$$N(m, n) = 2(2mn + m + n + 2V(m, n)),$$

and, in particular,

$$P(k, 2) = N(k - 1, k - 1) = 4(k^2 - k + V(k - 1, k - 1)),$$

where

$$V(m, n) \stackrel{\text{def}}{=} \sum_{i=1}^{[m]} \sum_{\substack{j=1 \\ \gcd(i, j)=1}}^{[n]} (m + 1 - i)(n + 1 - j).$$

3.1 Preliminary results

All threshold functions fall into two classes, depending on the value of $f(0, 0)$. Between these two classes there is a natural bijection $f \mapsto 1 - f$. Hence, it is enough to consider a class \mathfrak{M} of threshold functions with $f(0, 0) = 0$. The total number of threshold functions is twice as many as $|\mathfrak{M}|$.

With each line $\ell = ax + by + c \equiv 0$ we associate a set of zeros of a threshold function defined by ℓ :

$$M(\ell) = M(a, b, c) = \{(x, y) \in \mathcal{K}_0 \mid ax + by + c \leq 0\}.$$

If the line ℓ defines a function from \mathfrak{M} , then $c \leq 0$. For the rest assume that this inequality always holds.

Note that if the line ℓ does not contain the origin (a *regular* line), then it uniquely defines a function from \mathfrak{M} . If the line ℓ contains the origin (a *singular* line), then in general it defines two functions from \mathfrak{M} . If a singular line ℓ' is the result of continuous motion of a regular line ℓ , then we will assume that ℓ' defines a function equal the limit of the functions defined by ℓ .

Definition 3. Call a line $\ell = ax + by + c \equiv 0$ horizontal, if $a = 0$; vertical, if $b = 0$; and inclined, if $a \neq 0$ and $b \neq 0$. An inclined line is called positive or negative depending on the sign of its slope $-\frac{a}{b}$.

Definition 4. Lines ℓ_1 and ℓ_2 are equivalent ($\ell_1 \sim \ell_2$), if $M(\ell_1) = M(\ell_2)$. In other words, two lines are equivalent if they define the same threshold function.

We prove (non-)equivalence of the lines ℓ_1 and ℓ_2 using (non-)emptiness of the symmetric difference $M(\ell_1) \Delta M(\ell_2)$, or both set differences $M(\ell_1) \setminus M(\ell_2)$ and $M(\ell_2) \setminus M(\ell_1)$.

Lemma 5. For any line ℓ defining a threshold function from \mathfrak{M} , there exists an equivalent line ℓ' passing through at least one point from \mathcal{K}_0 .

Proof. Let the line $\ell = ax + by + c \equiv 0$ define some threshold function from \mathfrak{M} . If ℓ does not contain points from \mathcal{K}_0 , then increasing c (i.e., translating ℓ towards the origin) we will find a line $\ell' = ax + by + c' \equiv 0$ such that ℓ' passes through at least one point from \mathcal{K}_0 , and there are no points from \mathcal{K}_0 between ℓ' and ℓ . Then $\ell \sim \ell'$. \square

Denote by \mathfrak{L} the set of all lines that define functions from \mathfrak{M} and pass through at least one point from \mathcal{K}_0 . Lemma 5 implies that every function from \mathfrak{M} is defined by some line from \mathfrak{L} .

Lemma 6. Let $\ell_1, \ell_2 \in \mathfrak{L}$. If $\ell_1 \sim \ell_2$ and $\ell_1 \neq \ell_2$, then there exists a point $q \in \ell_1 \cap \ell_2 \cap \mathcal{K}$.

Proof. Assume that the given lines do not have a common point within the rectangle \mathcal{K} . Since $\ell_1, \ell_2 \in \mathfrak{L}$, there exist points $u \in \ell_1 \cap \mathcal{K}_0$ and $v \in \ell_2 \cap \mathcal{K}_0$. Then either $u \in M(\ell_1) \setminus M(\ell_2)$ or $v \in M(\ell_2) \setminus M(\ell_1)$, a contradiction to $\ell_1 \sim \ell_2$. \square

Definition 7. A line $\ell \in \mathfrak{L}$ is called stable if it passes through at least two points from \mathcal{K}_0 .

An example of a stable line is given in Fig. 1a.

Lemma 8. Let $\ell_1 \sim \ell_2$ be two equivalent lines passing through points $u \in \mathcal{K}_0$ and $v \in \mathcal{K}_0$ respectively. If $u \neq v$ then there exists a stable line ℓ_0 such that $u \in \ell_0$ and $\ell_1 \sim \ell_0 \sim \ell_2$.

Proof. If ℓ_1 is stable, then the statement is trivial for $\ell_0 = \ell_1$. Assume that ℓ_1 is not stable.

Lemma 6 implies $\ell_1 \cap \ell_2 = q \in \mathcal{K}$. It is easy to see that $q \neq v$. On the other hand, if $q = u$ then $\ell_0 = \ell_2$ proves the lemma. Hence, assume $q \neq u$.

Consider a family of lines $\ell(t)$ passing through the points u and $q + (v - q)t$ for $t \in [0, 1]$. Note that $\ell(0) = \ell_1$. Let $t_0 > 0$ be a minimal value of t such that line $\ell(t)$ passes through a point from \mathcal{K}_0 different from u . Define $\ell_0 = \ell(t_0)$.

We will prove that the set $M(\ell(t))$ does not change as the parameter t changes from 0 till t_0 . By the construction, $M(\ell') = M(\ell_1)$ for any intermediate line $\ell' = \ell(t)$, $t \in (0, t_0)$ and all points from $\ell_1 \cap \mathcal{K}_0$ different from u lie on the same side of ℓ' as the point $v \in M(\ell_1) = M(\ell_2)$. Hence, $M(\ell') = M(\ell_1)$. On the other hand, for any point $w \in \ell_0 \cap \mathcal{K}_0$, it is true that $w \in M(\ell_1) = M(\ell_2)$. Indeed, if $w \notin M(\ell_1) = M(\ell_2)$ then point w must lie on a ray of line ℓ_0 with origin u , which crosses line ℓ_2 . But then points w and v lie at the same side of line ℓ_1 , and, hence, $w \in M(\ell_1)$ contradicting to the assumption $w \notin M(\ell_1) = M(\ell_2)$.

Therefore, $M(\ell_0) = M(\ell(t_0)) = M(\ell(0)) = M(\ell_1)$, i.e., $\ell_0 \sim \ell_1$. \square

Lemma 9. *If two equivalent lines ℓ_1 and ℓ_2 have a common point $u \in \mathcal{K}_0$, then $\ell_1 = \ell_2$.*

Proof. Suppose that a line ℓ_1 passes through points $u \neq v \in \mathcal{K}_0$, a line ℓ_2 passes through points $u \neq w \in \mathcal{K}_0$, and $\ell_1 \sim \ell_2$.

If the line ℓ_1 is vertical, then $u_x = v_x = c$. In this case the line ℓ_2 cannot be horizontal, otherwise the corresponding threshold function must be a null. From $w \in M(\ell_2) = M(\ell_1)$ it follows that $w_x \leq c$. If $w_x < c$, then $(c, w_y) \in M(\ell_1) \setminus M(\ell_2)$, a contradiction to $\ell_1 \sim \ell_2$. Therefore, $w_x = c$ and $\ell_2 = \ell_1$.

The other cases with a horizontal or vertical line are considered similarly. Assume that both lines ℓ_1, ℓ_2 are inclined.

If the point u lies on a border of rectangle \mathcal{K} , then either $v \notin M(\ell_2)$, or $w \notin M(\ell_1)$, a contradiction to $\ell_1 \sim \ell_2$. Hence, u is an internal point of rectangle \mathcal{K} .

It is easy to see that if the lines ℓ_1 and ℓ_2 have opposite signs, then the lines $x = u_x$ or $y = u_y$ contain a point from $M(\ell_1) \Delta M(\ell_2)$.

The remaining case to consider is the lines ℓ_1 and ℓ_2 having the same sign. Without loss of generality, assume that they are positive. Also assume that both lines cross the bottom side of rectangle \mathcal{K} , the other cases (e.g., crossing the left side or passing through $\mathbf{0}$) are considered similarly. Note that the case, when one line crosses the left side while the other crosses the bottom side, is impossible since it would imply $(0, n) \in M(\ell_1) \Delta M(\ell_2)$ contradicting to the equivalence of ℓ_1 and ℓ_2 .

Suppose that the slopes of the lines are not equal. Without loss of generality, assume that the slope of ℓ_1 is less. Then $v_x < u_x < w_x$ and $v_y < u_y < w_y$. Hence, line ℓ passing through the points v and w is positive (Fig. 2a).

Let $z = \frac{v+w}{2}$ be a middle point of the interval $[v, w]$. Consider a point v' symmetric to v with respect to the point u . Then $v' \notin \mathcal{K}_0$, since otherwise $v' \in M(\ell_1) \setminus M(\ell_2)$ that is impossible due to $\ell_1 \sim \ell_2$. Hence, in particular, $v'_x = 2u_x - v_x > w_x$ that is equivalent to $u_x > z_x$. Similarly, for a point w' symmetric to the point w with respect to the point u , we have $u_y < z_y$. Since u is an integer point and z is a middle point of the interval with integer ends, a stronger inequality $z_y - u_y \geq \frac{1}{2}$ holds.

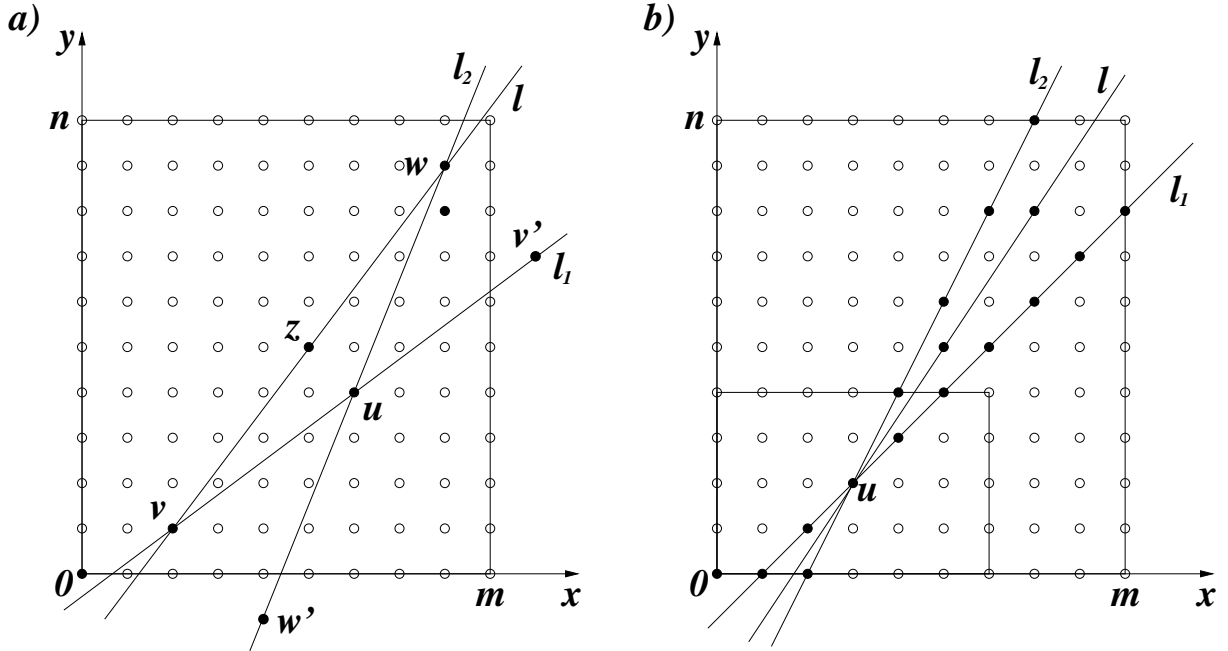


Figure 2: a) Point $(w_x, w_y - 1)$ belongs to $M(\ell_1) \setminus M(\ell_2)$ contradicting $\ell_1 \sim \ell_2$. b) Line ℓ lies between adjacent u -stable lines ℓ_1 and ℓ_2 .

Consider an equation of the line ℓ_1 in the form $y_1(x) = \frac{u_y - v_y}{u_x - v_x}(x - v_x) + v_y$; and an equation of the line ℓ in the form $y(x) = \frac{w_y - v_y}{w_x - v_x}(x - v_x) + v_y$. Define a function

$$f(x) \stackrel{\text{def}}{=} y(x + v_x) - y_1(x + v_x) = \left(\frac{w_y - v_y}{w_x - v_x} - \frac{u_y - v_y}{u_x - v_x} \right) x.$$

Trivially, $f(kx) = kf(x)$ for all k .

Since the line ℓ_1 is positive, and $z_x < u_x$, then $y_1(z_x) < y_1(u_x) = u_y$. Hence, $f\left(\frac{w_x - v_x}{2}\right) = z_y - y_1(z_x) > z_y - u_y \geq \frac{1}{2}$. Linearity of $f(x)$ implies

$$w_y - y_1(w_x) = f(w_x - v_x) = 2f\left(\frac{w_x - v_x}{2}\right) > 1.$$

that is equivalent to $y_1(w_x) < w_y - 1$. Hence, $(w_x, w_y - 1) \in M(\ell_1) \setminus M(\ell_2)$ contradicting the equivalence of ℓ_1 and ℓ_2 .

This contradiction proves that the slopes of ℓ_1 and ℓ_2 are equal. Since ℓ_1 and ℓ_2 have the common point u , Lemma 9 implies $\ell_1 = \ell_2$. \square

Lemma 10. *If lines ℓ_1 and ℓ_2 are stable and $\ell_1 \sim \ell_2$, then $\ell_1 = \ell_2$.*

Proof. Assume that lines ℓ_1 and ℓ_2 are stable and $\ell_1 \sim \ell_2$, but $\ell_1 \neq \ell_2$. By Lemma 6, $\ell_1 \cap \ell_2 = q \in \mathcal{K}$.

If $q \in \mathcal{K}_0$, then Lemma 9 implies $\ell_1 = \ell_2$, a contradiction.

Assume $q \notin \mathcal{K}_0$. Let points $u, v \in \mathcal{K}_0$ be the closest to q lying on the lines ℓ_1 and ℓ_2 respectively. By Lemma 8 there exists a stable line ℓ_0 equivalent ℓ_1 such that $u \in \ell_0$. Lemma 9 applied to the lines ℓ_0 and ℓ_1 implies $\ell_0 = \ell_1$ that is impossible since ℓ_0 differs from ℓ_1 by the construction. This contradiction completes the proof. \square

Lemma 11. *Let the line ℓ_1 pass through a point $u \in \mathcal{K}_0$. If line ℓ_2 is stable and $\ell_1 \sim \ell_2$, then ℓ_2 passes through u as well.*

Proof. If line ℓ_1 is stable, then the statement immediately follows from Lemma 9. Hence, suppose that line ℓ_1 is not stable, i.e., $\ell_1 \cap \mathcal{K}_0 = \{u\}$.

According to Lemma 6, $\ell_1 \cap \ell_2 = q \in \mathcal{K}$. Let point $v \in \mathcal{K}_0$ be the closest to q on the line ℓ_2 .

If $q \in \mathcal{K}_0$, i.e., $v = u = q$, then the lemma is proved.

Assume $q \notin \mathcal{K}_0$. By Lemma 8 there exists a stable line ℓ_0 such that $u \in \ell_0$ and $\ell_0 \sim \ell_1$. Applying Lemma 10 to the lines ℓ_0 and ℓ_2 , we conclude that $\ell_0 = \ell_2$ and, hence, $u \in \ell_2$. \square

Definition 12. *A threshold function $f \in \mathfrak{M}$ is called stable, if there exists a stable line that defines f , and unstable otherwise.*

Examples of stable and unstable functions are given in Fig. 1.

3.2 Number of unstable functions

Definition 13. *For an unstable function f , define vertex as a point from \mathcal{K}_0 that lies on every line from \mathcal{L} defining f . Such point exists according to Lemma 11.*

Definition 14. *Let $u \in \mathcal{K}_0$. Denote by \mathcal{K}_u a maximal subarea of \mathcal{K} (rectangular in general) that is centrally symmetric with respect to the point u ; and let $\overline{\mathcal{K}_u} = \mathcal{K} \setminus \mathcal{K}_u$. Denote by L_u the set of all lines passing through u ; and denote by S_u a set of stable lines from L_u . Line $\ell \in S_u$ is called u -stable if ℓ passes through a point from $\mathcal{K}_u \cap \mathcal{K}_0$ different from u .*

Definition 15. *Let $u \in \mathcal{K}_0$. Lines $\ell_1, \ell_2 \in S_u$ are called adjacent if a line ℓ during the shortest rotation from a position ℓ_1 to a position ℓ_2 about the point u does not meet any other lines from S_u . Any line corresponding to an intermediate position of ℓ is said lying between the lines ℓ_1 and ℓ_2 (Fig. 2b).*

Lemma 16. *If line ℓ passing through $u \in \mathcal{K}_0$ lies between adjacent lines $\ell_1, \ell_2 \in S_u$, and $\ell \sim \ell'$ for some stable line ℓ' , then either $\ell' = \ell_1$, or $\ell' = \ell_2$.*

Proof. Assume that ℓ' differs from ℓ_1 and ℓ_2 . Let v_1 and v_2 be points from \mathcal{K}_0 different from u lying on the lines ℓ_1 and ℓ_2 respectively. Note that Lemma 11 implies $u \in \ell'$. During the shortest rotation (about the point u) to line ℓ' , line ℓ necessarily meets ℓ_t ($t = 1$ or 2). But then point v_t belongs to $M(\ell) \triangle M(\ell')$, and, hence, ℓ and ℓ' cannot be equivalent. This contradiction completes the proof. \square

For a point $u = \mathbf{0}$, there exists a unique unstable threshold function with a vertex at u . Namely, this function is equal to 0 only at the point $\mathbf{0}$, and is defined by any negative line passing through the point $\mathbf{0}$.

If $u \neq \mathbf{0}$ is a vertex of the rectangle \mathcal{K} , then there is no unstable threshold function with a vertex at u . It is easy to see that any line passing through u can be rotated about u into an equivalent stable line.

Exclude vertices of the rectangle \mathcal{K} from \mathcal{K}_0 , and denote the rest of the points by \mathcal{K}'_0 .

Lemma 17. *Let $u \in \mathcal{K}'_0$, and ℓ_0 be a line passing through points $\mathbf{0}$ and u . Then the number of unstable threshold functions with a vertex at u is equal to the number of u -stable lines, if the line ℓ_0 is u -stable; and one less otherwise.*

Proof. Suppose that a line $\ell \in L_u \setminus S_u$ lies between adjacent lines $\ell_1, \ell_2 \in S_u$. Note that ℓ cannot be equivalent to any u -stable line, since the latter contains a pair of symmetric (with respect to u) points, one of which does not belong to $M(\ell)$. Hence, if both lines ℓ_1 and ℓ_2 are u -stable, then ℓ is not equivalent to either of them, and by Lemma 16 it must define an unstable threshold function.

Therefore, the statement is true when $\mathcal{K}_u = \mathcal{K}$ (e.g., u is a center of the rectangle \mathcal{K}). We exclude this case for the rest of the proof.

We split the set L_u into two:

$$\begin{aligned} L'_u &\stackrel{\text{def}}{=} \{\ell \in L_u \mid \ell \cap \overline{\mathcal{K}_u} = \emptyset\}; \\ L''_u &\stackrel{\text{def}}{=} \{\ell \in L_u \mid \ell \cap \overline{\mathcal{K}_u} \neq \emptyset\}. \end{aligned}$$

Unstable lines from L'_u have to lie between two adjacent stable lines from L'_u (obviously, they are u -stable as well). Hence, the number of distinct unstable threshold functions defined by lines from L'_u equals the number of u -stable lines in L'_u minus 1.

For any unstable line $\ell \in L''_u$, call *free end* a ray starting at u which crosses area $\overline{\mathcal{K}_u}$. Consider a rotation of ℓ about u such that free end of ℓ moves towards the point $\mathbf{0}$ following the shortest arc. Without loss of generality we assume that during such rotation ℓ first meets a stable line ℓ_1 . Then ℓ can be equivalent only to ℓ_1 since the line ℓ_2 is either u -stable, or passes through a point from $\overline{\mathcal{K}_u} \cap \mathcal{K}_0$ not belonging to $M(\ell)$. But such equivalence takes place if and only if ℓ_1 is not u -stable. Hence, counting of unstable threshold functions with a vertex at u corresponds to counting of u -stable lines. The number of unstable threshold functions defined by lines from L''_u equals the number of u -stable lines in L''_u .

Note that if the line ℓ_0 is u -stable, it will be counted two times. In this case the number of unstable threshold functions defined by lines from L''_u is greater by 1 as compared to the number of u -stable lines in L''_u .

Hence, the total number of unstable threshold functions with a vertex at u is equal to the number of u -stable lines when the line ℓ_0 is u -stable; or less by 1 otherwise. \square

Definition 18. *Let*

$$U(p, q) \stackrel{\text{def}}{=} \#\{(a, b) \in \mathbb{Z}^2 \mid 1 \leq a \leq p, 1 \leq b \leq q, \gcd(a, b) = 1\}.$$

or, equivalently,

$$U(p, q) = \sum_{i=1}^p \sum_{\substack{j=1 \\ \gcd(i, j)=1}}^q 1. \quad (1)$$

Note that values $U(k, k)$ related to the probability of two random numbers from $[1, k]$ being co-prime are well studied (see also sequence A018805 in [12]).

Lemma 19. *The number of points $u \in \mathcal{K}'_0$ such that the line ℓ_0 is not u -stable equals $U(m, n) - 1$.*

Proof. If the line ℓ_0 passes through exactly $k + 1$ points $\mathbf{0} = u_0, u_1, \dots, u_k \in \mathcal{K}_0$ listed in the order of increasing distance from the point $\mathbf{0}$, then ℓ_0 is u_i -stable for $i = 1, \dots, k - 1$ and is not u_k -stable. Therefore, the number of points $u \in \mathcal{K}'_0$ for which the line ℓ_0 is not u -stable equals the number of stable lines passing through the point $\mathbf{0}$, excluding three lines passing through the vertices of \mathcal{K} . \square

Definition 20. *For real numbers t and k define a function*

$$V(t, k) \stackrel{\text{def}}{=} \sum_{i=1}^{\lceil t \rceil} \sum_{\substack{j=1 \\ \gcd(i, j)=1}}^{\lceil k \rceil} (t + 1 - i)(k + 1 - j). \quad (2)$$

Lemma 21. *For all $t \in \mathbb{R}, k \in \mathbb{N}$ the following holds*

$$i) \quad V(t, k - 1) + V(t, k) = 2V\left(t, k - \frac{1}{2}\right).$$

For all $t, k \in \mathbb{N}$ the following holds

$$ii) \quad V(t, k) = \sum_{p=1}^t \sum_{q=1}^k U(p, q);$$

$$iii) \quad \sum_{p=1}^t U(p, k) = V(t, k) - V(t, k - 1);$$

$$iv) \quad U(t, k) = V(t, k) - V(t, k - 1) - V(t - 1, k) + V(t - 1, k - 1);$$

$$v) \quad U(t, k) + 2(V(t, k - 1) + V(t - 1, k)) = 4V\left(t - \frac{1}{2}, k - \frac{1}{2}\right).$$

Proof. Let $t \in \mathbb{R}, k \in \mathbb{N}$. To prove i) we use formula (2)

$$\begin{aligned} V(t, k - 1) + V(t, k) &= \sum_{i=1}^{\lceil t \rceil} \sum_{\substack{j=1 \\ \gcd(i, j)=1}}^{k-1} (t + 1 - i)(k - j) + \\ &\quad \sum_{i=1}^{\lceil t \rceil} \sum_{\substack{j=1 \\ \gcd(i, j)=1}}^k (t + 1 - i)(k + 1 - j) = \sum_{i=1}^{\lceil t \rceil} \sum_{\substack{j=1 \\ \gcd(i, j)=1}}^k (t + 1 - i)(2k + 1 - 2j) = \\ &= 2 \sum_{i=1}^{\lceil t \rceil} \sum_{\substack{j=1 \\ \gcd(i, j)=1}}^k (t + 1 - i)\left(k + \frac{1}{2} - j\right) = 2V\left(t, k - \frac{1}{2}\right). \end{aligned}$$

To prove ii) we use formulae (1) and (2)

$$\begin{aligned} \sum_{p=1}^t \sum_{q=1}^k U(p, q) &= \sum_{p=1}^t \sum_{q=1}^k \sum_{\substack{i=1 \\ \gcd(i,j)=1}}^p \sum_{\substack{j=1 \\ \gcd(i,j)=1}}^q 1 = \sum_{i=1}^t \sum_{j=1}^k \sum_{p=i}^t \sum_{q=j}^k 1 = \\ &= \sum_{\substack{i=1 \\ \gcd(i,j)=1}}^t \sum_{j=1}^k (t+1-i)(k+1-j) = V(t, k). \end{aligned}$$

To prove iii) we use formula ii)

$$V(t, k) - V(t, k-1) = \sum_{p=1}^t \sum_{q=1}^k U(p, q) - \sum_{p=1}^t \sum_{q=1}^{k-1} U(p, q) = \sum_{p=1}^t U(p, k).$$

Using iii), prove iv)

$$U(t, k) = \sum_{p=1}^t U(p, k) - \sum_{p=1}^{t-1} U(p, k) = V(t, k) - V(t, k-1) - V(t-1, k) + V(t-1, k-1).$$

Finally, to prove equality v) we use formulae iv) and i)

$$\begin{aligned} U(t, k) + 2(V(t, k-1) + V(t-1, k)) &= \\ V(t, k) + V(t, k-1) + V(t-1, k) + V(t-1, k-1) &= \\ = 2V\left(t, k - \frac{1}{2}\right) + 2V\left(t-1, k - \frac{1}{2}\right) &= 4V\left(t - \frac{1}{2}, k - \frac{1}{2}\right). \end{aligned}$$

□

Theorem 22. *The number of unstable threshold functions in \mathfrak{M} is*

$$2mn - U(m, n) + 8V\left(\frac{m-1}{2}, \frac{n-1}{2}\right).$$

Proof. Note that if $u_x \leq \frac{m}{2}$ and $u_y \leq \frac{n}{2}$, then the number of u -stable inclined lines equals $2U(u_x, u_y)$. If u lie on a side of \mathcal{K} , then the line passing through that side is the only u -stable line. If u is an inner point of \mathcal{K} , then both vertical and horizontal as well as inclined lines passing through u are u -stable.

Let us count the number of all u -stable lines for $u \in \mathcal{K}'_0$. Despite that the counting depends on the evenness of the integers m and n , we will show that the result is the same in all cases and equals

$$2(mn - 1) + 8V\left(\frac{m-1}{2}, \frac{n-1}{2}\right). \quad (3)$$

Consider all cases of m and n evenness.

If both m and n are odd, then Lemmas 17 and 21.ii imply that the number of all u -stable lines for $u \in [0, \frac{m-1}{2}] \times [0, \frac{n-1}{2}] \setminus \{0\}$, equals

$$\sum_{i=1}^{\frac{m-1}{2}} 1 + \sum_{j=1}^{\frac{n-1}{2}} 1 + \sum_{i=1}^{\frac{m-1}{2}} \sum_{j=1}^{\frac{n-1}{2}} (2U(i, j) + 2) = \frac{mn-1}{2} + 2V\left(\frac{m-1}{2}, \frac{n-1}{2}\right).$$

Due to the symmetry, the total number of u -stable lines for $u \in \mathcal{K}'_0$ is four times as many.

Now let m be even and n be odd. Then the number of all u -stable lines for $u \in [0, \frac{m}{2} - 1] \times [0, \frac{n-1}{2}] \setminus \{0\}$ equals

$$\sum_{i=1}^{\frac{m}{2}-1} 1 + \sum_{j=1}^{\frac{n-1}{2}} 1 + \sum_{i=1}^{\frac{m}{2}-1} \sum_{j=1}^{\frac{n-1}{2}} (2U(i, j) + 2) = \frac{mn - n - 1}{2} + 2V\left(\frac{m}{2} - 1, \frac{n-1}{2}\right).$$

Quadruplicated this number is the number of all u -stable lines for $u \in \mathcal{K}'_0$, except those lying on a line $x = \frac{m}{2}$. The number of u -stable lines for u on that line due to property 21.iii can be counted as

$$2 \left(1 + \sum_{j=1}^{\frac{n-1}{2}} \left(2U\left(\frac{m}{2}, j\right) + 2 \right) \right) = 2n + 4 \left(V\left(\frac{m}{2}, \frac{n-1}{2}\right) - V\left(\frac{m}{2} - 1, \frac{n-1}{2}\right) \right).$$

Hence, the total number of u -stable lines for $u \in \mathcal{K}'_0$ equals

$$\begin{aligned} & 4 \left(\frac{mn - n - 1}{2} + 2V\left(\frac{m}{2} - 1, \frac{n-1}{2}\right) \right) + 2n + 4 \left(V\left(\frac{m}{2}, \frac{n-1}{2}\right) - V\left(\frac{m}{2} - 1, \frac{n-1}{2}\right) \right) = \\ & = 2(mn - 1) + 4 \left(V\left(\frac{m}{2}, \frac{n-1}{2}\right) + V\left(\frac{m}{2} - 1, \frac{n-1}{2}\right) \right) = 2(mn - 1) + 8V\left(\frac{m-1}{2}, \frac{n-1}{2}\right). \end{aligned}$$

Here we used the property 21.i.

A case of odd m and even n is considered similarly.

Finally, let both m and n be even. The number of all u -stable lines for $u \in [0, \frac{m}{2} - 1] \times [0, \frac{n}{2} - 1] \setminus \{0\}$ equals

$$\sum_{i=1}^{\frac{m}{2}-1} 1 + \sum_{j=1}^{\frac{n}{2}-1} 1 + \sum_{i=1}^{\frac{m}{2}-1} \sum_{j=1}^{\frac{n}{2}-1} (2U(i, j) + 2) = \frac{mn - m - n}{2} + 2V\left(\frac{m}{2} - 1, \frac{n}{2} - 1\right).$$

Quadruplicated this number equals the number of all u -stable lines for $u \in \mathcal{K}'_0$, except for those lying on the lines $x = \frac{m}{2}$ and $y = \frac{n}{2}$. Because of the properties 21.iii and 21.v, the number of u -stable lines for u on these lines equals

$$\begin{aligned} & 2 \left(1 + 1 + \sum_{i=1}^{\frac{m}{2}-1} \left(2U\left(i, \frac{n}{2}\right) + 2 \right) + \sum_{j=1}^{\frac{n}{2}-1} \left(2U\left(\frac{m}{2}, j\right) + 2 \right) \right) + 2U\left(\frac{m}{2}, \frac{n}{2}\right) + 2 = \\ & \quad 2(m + n - 1) + 2U\left(\frac{m}{2}, \frac{n}{2}\right) + \\ & \quad 4 \left(V\left(\frac{m}{2} - 1, \frac{n}{2}\right) + V\left(\frac{m}{2}, \frac{n}{2} - 1\right) - 2V\left(\frac{m}{2} - 1, \frac{n}{2} - 1\right) \right) = \\ & \quad 2(m + n - 1) + 2V\left(\frac{m-1}{2}, \frac{n-1}{2}\right) - 8V\left(\frac{m}{2} - 1, \frac{n}{2} - 1\right). \end{aligned}$$

Summing up the results, we get the already known expression (3).

Lemmas 17 and 19 imply that the total number of unstable threshold function with a vertex in \mathcal{K}'_0 is equal to (3) minus $U(m, n) - 1$. Finally, we need to add 1 for the single unstable threshold function with a vertex at 0 . \square

3.3 Number of stable threshold functions

Theorem 23. *The number of stable threshold functions in \mathfrak{M} equals*

$$m + n + U(m, n) + 2V(m, n) - 8V\left(\frac{m-1}{2}, \frac{n-1}{2}\right).$$

Proof. Consider any stable line ℓ of a positive slope passing through $\mathbf{0}$. Let point $(a, b) \in l \cap \mathcal{K}_0$ be the closest to $\mathbf{0}$ implying $\gcd(a, b) = 1$.

Consider all stable lines parallel to ℓ . Every such line is defined by a pair of points $(x, y), (x + a, y + b) \in \mathcal{K}_0$ on it, where $(x, y) \in \mathcal{K}_0$ is the closest point to $\mathbf{0}$. Such pairs are uniquely defined by the following constraints

$$\begin{cases} x < a \text{ or } y < b, \\ x + a \leq m, \\ y + b \leq n. \end{cases}$$

Let P be the set of all points $(x, y) \in \mathcal{K}_0$ satisfying these constraints. Then the stable lines parallel to ℓ and the elements of P are in one-to-one correspondence.

If $a > \frac{m}{2}$ or $b > \frac{n}{2}$, then the set P equals $P_1 \stackrel{\text{def}}{=} \{(x, y) \in \mathcal{K}_0 \mid 0 \leq x \leq m - a, 0 \leq y \leq n - b\}$. In this case the number of stable lines parallel to ℓ is $(m + 1 - a)(n + 1 - b)$.

If $a \leq \frac{m}{2}$ and $b \leq \frac{n}{2}$, then the set P equals

$$P_1 \setminus \{(x, y) \in \mathcal{K}_0 \mid a \leq x \leq m - a, b \leq y \leq n - b\}.$$

Hence, in this case the number of stable lines parallel to ℓ is less than before by

$$(m + 1 - 2a)(n + 1 - 2b) = 4\left(\frac{m-1}{2} + 1 - a\right)\left(\frac{n-1}{2} + 1 - b\right).$$

Summing over all pairs (a, b) fulfilling the constraints and using formula (2), we get that the total number of stable lines of a positive (negative) slope is $V(m, n) - 4V\left(\frac{m-1}{2}, \frac{n-1}{2}\right)$. The total number of inclined stable lines is twice as many as this number.

Since each inclined stable line passing through $\mathbf{0}$ defines two distinct threshold functions, the result should be increased by the number of such lines, i.e., by $U(m, n)$.

Finally, taking into account m vertical lines $x = i$, $i = \overline{0, m-1}$ and n horizontal lines $y = j$, $j = \overline{0, n-1}$, we complete the proof. \square

Summing the results of Theorems 22 and 23 gives the number of threshold functions in \mathfrak{M} . The total number of threshold functions $N(m, n)$ is as twice as many. Theorem 2 is proved.

4 Asymptotic of $N(m, n)$

Theorem 24. *For $m \geq n$, the following asymptotics holds*

$$N(m, n) = \frac{6}{\pi^2} m^2 n^2 + O(m^2 n \ln n)$$

$$N(m, n) = 2((n + 1)C_n - B_n)m^2 + O(mn^3)$$

where $\phi(t)$ is the totient function and

- $B_k \stackrel{\text{def}}{=} \sum_{i=1}^k \phi(i) = \frac{3}{\pi^2}k^2 + O(k \ln k)$ (Dirichlet's Theorem, see [9]);
- $C_k \stackrel{\text{def}}{=} \sum_{i=1}^k \frac{\phi(i)}{i} = \frac{6}{\pi^2}k + O(\ln k)$ (see [9]).

Lemma 25. Let $s \geq 0$. Then

$$\begin{aligned} \sum_{t=1}^k \frac{1}{t} &= O(\ln k); \\ \sum_{t=k+1}^{\infty} \frac{1}{t^s} &= O\left(\frac{1}{k^{s-1}}\right), \quad (s \neq 1); \\ \sum_{t=1}^k t^s \ln t &= O(k^{s+1} \ln k); \\ \sum_{t=1}^k t^s &= \frac{k^{s+1}}{s+1} + O(k^s) = O(k^{s+1}). \end{aligned}$$

Proof. The statement follows from integral estimates of the sums. □

Theorem 26. For $t \geq k$, the following inequality holds

$$U(t, k) = U(k, t) = \frac{6}{\pi^2}tk + O(t \ln k);$$

Proof. Note that there exist exactly $\lfloor m/p \rfloor$ positive integers not exceeding m , which are divisible by p . Hence, there are $\lfloor t/p \rfloor \lfloor k/p \rfloor$ pairs (a, b) , $1 \leq a \leq t$, $1 \leq b \leq k$, whose greatest common divider is divisible by p . The inclusion-exclusion principle [13] for the number of pairs (a, b) with $\gcd(a, b)$ not divisible by any prime p (i.e., $\gcd(a, b) = 1$) gives an exact formula

$$U(t, k) = \sum_{s=1}^{\infty} \mu(s) \left\lfloor \frac{t}{s} \right\rfloor \left\lfloor \frac{k}{s} \right\rfloor,$$

where $\mu(s)$ is Möbeus function.

Approximate $U(t, k)$ with a function

$$\hat{U}(t, k) \stackrel{\text{def}}{=} \sum_{s=1}^{\infty} \mu(s) \frac{t}{s} \frac{k}{s} = tk \sum_{s=1}^{\infty} \frac{\mu(s)}{s^2} = tk \frac{6}{\pi^2}.$$

and bound an absolute value of the difference $U(t, k) - \hat{U}(t, k)$ as follows

$$|U(t, k) - \hat{U}(t, k)| = \left| \sum_{s=1}^{\infty} \mu(s) \left(\left\lfloor \frac{t}{s} \right\rfloor \left\lfloor \frac{k}{s} \right\rfloor - \frac{t}{s} \frac{k}{s} \right) \right| \leq \sum_{s=1}^{\infty} d(t, k, s),$$

where

$$d(t, k, s) \stackrel{\text{def}}{=} \frac{tk}{s^2} - \left\lfloor \frac{t}{s} \right\rfloor \left\lfloor \frac{k}{s} \right\rfloor.$$

If $s > k$, then $d(t, k, s) = \frac{tk}{s^2}$; otherwise (i.e., $s \leq k$)

$$d(t, k, s) < \frac{tk}{s^2} - \left(\frac{t}{s} - 1 \right) \left(\frac{k}{s} - 1 \right) = \frac{t+k}{s} - 1 < \frac{2t}{s}.$$

Applying Lemma 25, we finally have

$$|U(t, k) - \hat{U}(t, k)| < \sum_{s=1}^{\infty} d(t, k, s) < \sum_{s=1}^k \frac{2t}{s} + \sum_{s=k+1}^{\infty} \frac{tk}{s^2} = O(t \ln k).$$

□

Note that Dirichlet's Theorem is a particular case of Theorem 26 for $t = k$.

Theorem 27. For $t \geq k$, the following asymptotic holds

$$V(t, k) = V(k, t) = \frac{3}{2\pi^2} t^2 k^2 + O(t^2 k \ln k).$$

Proof. We use formula 21.ii and property $U(i, j) = U(j, i)$ as follows.

$$V(t, k) = \sum_{i=1}^t \sum_{j=1}^k U(i, j) = 2 \sum_{i=1}^k \sum_{j=1}^{i-1} U(i, j) + \sum_{i=1}^r U(i, i) + \sum_{i=k+1}^t \sum_{j=1}^k U(i, j).$$

According to Lemmas 25 and 26,

$$\begin{aligned} \sum_{i=1}^k \sum_{j=1}^{i-1} U(i, j) &= \sum_{i=1}^k \sum_{j=1}^{i-1} \left(\frac{6}{\pi^2} ij + O(i \ln j) \right) = \frac{6}{\pi^2} \sum_{i=1}^k \left(i \sum_{j=1}^{i-1} j + O \left(i \sum_{j=1}^{i-1} \ln j \right) \right) = \\ &= \frac{6}{\pi^2} \sum_{i=1}^k \left(i \frac{i^2}{2} + O(i^2 \ln i) \right) = \frac{3}{\pi^2} \sum_{i=1}^k i^3 + O \left(\sum_{i=1}^k i^2 \ln i \right) = \frac{3}{4\pi^2} k^4 + O(k^3 \ln k). \end{aligned}$$

Similarly,

$$\begin{aligned} \sum_{i=1}^k U(i, i) &= O \left(\sum_{i=1}^k i^2 \right) = O(k^3); \\ \sum_{i=k+1}^t \sum_{j=1}^k U(i, j) &= \sum_{i=k+1}^t \sum_{j=1}^k \left(\frac{6}{\pi^2} ij + O(i \ln j) \right) = \\ &= \sum_{i=k+1}^t \left(\frac{6}{\pi^2} i \frac{k^2}{2} + O(ik \ln k) \right) = \frac{3}{2\pi^2} k^2 (t^2 - k^2) + O(t^2 k \ln k). \end{aligned}$$

Therefore,

$$V(m, k) = 2 \left(\frac{3}{4\pi^2} k^4 + O(k^3 \ln k) \right) + O(k^3) + \frac{3}{2\pi^2} k^2 (m^2 - k^2) + O(m^2 k \ln k) = \frac{3}{2\pi^2} m^2 k^2 + O(m^2 k \ln k).$$

□

Theorem 28. For $t \geq k$, the following inequality holds

$$U(t, k) = U(k, t) = C_k t + O(k^2).$$

Proof. We use formula (1)

$$\begin{aligned} U(k, t) &= \sum_{i=1}^k \sum_{\substack{j=1 \\ \gcd(i,j)=1}}^t 1 = \sum_{i=1}^k \left(\sum_{s=0}^{\lfloor \frac{t}{i} \rfloor - 1} \sum_{\substack{j=si+1 \\ \gcd(j,i)=1}}^{si+i} 1 + \sum_{\substack{j=\lfloor t/i \rfloor i + 1 \\ \gcd(j,i)=1}}^t 1 \right) = \\ &= \sum_{i=1}^k \left\lfloor \frac{t}{i} \right\rfloor \phi(i) + O(k^2) = \sum_{i=1}^k \frac{t}{i} \phi(i) + O(k^2). \end{aligned}$$

□

Theorem 29. For $t \geq k$, the following asymptotic holds

$$V(t, k) = V(k, t) = \frac{(k+1)C_k - B_k}{2} t^2 + O(tk^3).$$

Proof. We use formula (2)

$$\begin{aligned} V(k, t) &= \sum_{i=1}^k \sum_{\substack{j=1 \\ \gcd(i,j)=1}}^t (k+1-i)(t+1-j) = \\ &= \sum_{i=1}^k (k+1-i) \left(\sum_{s=0}^{\lfloor \frac{t}{i} \rfloor - 1} \sum_{\substack{j=1 \\ \gcd(j,i)=1}}^i (t+1-si-j) + \sum_{\substack{j=1 \\ \gcd(j,i)=1}}^{t \bmod i} (t \bmod i + 1 - j) \right). \end{aligned}$$

Neglecting terms of order $O(tk^3)$, we have

$$\begin{aligned} V(k, t) &= \sum_{i=1}^k (k+1-i) \sum_{s=0}^{\lfloor \frac{t}{i} \rfloor - 1} \sum_{\substack{j=1 \\ \gcd(j,i)=1}}^i (t-si) + O(tk^3) = \\ &= \frac{t^2}{2} \sum_{i=1}^k (k+1-i) \frac{\phi(i)}{i} + O(tk^3) = \frac{(k+1)C_k - B_k}{2} t^2 + O(tk^3). \end{aligned}$$

□

Theorem 24 now follows from Theorems 2, 27, and 29.

5 Relation to teaching sets

A *teaching set* [2, 11, 15] of a threshold function $f : \mathcal{K}_0 \rightarrow \{0, 1\}$ is a subset $T \subset \mathcal{K}_0$ such that for any other threshold function $g \neq f$ there exists $t \in T$ such that $g(t) \neq f(t)$. A teaching set of minimal cardinality is called *minimum teaching set*. A minimum teaching set of a two-dimensional threshold function consists of either 3 or 4 points [11].

It is easy to see that an unstable threshold function f has a teaching set of size 3. Indeed, let $u \in \mathcal{K}_0$ be a vertex of f and $\ell \ni u$ be a line defining f . Then ℓ lies between two

adjacent stable lines ℓ_1 and ℓ_2 passing respectively through some points $u_1 \neq u$ and $u_2 \neq u$ with $f(u_1) = f(u_2) \neq f(u)$. Then $\{u, u_1, u_2\}$ forms a teaching set of f .

However, the minimum size of a teaching set T of a stable threshold function f may be 3 or 4. Namely, $|T| = 3$ if the complement threshold function $\tilde{f}(x, y) = 1 - f(m - x, m - y)$ is unstable; and $|T| = 4$ if $\tilde{f}(x, y)$ is stable. Therefore, the stable threshold functions can be partitioned into two classes depending on the size of a minimal teaching set. Unfortunately, our results do not allow to compute the number of threshold functions in each class, that we pose as an open problem.

Acknowledgements

The author thanks Nikolai Zolotykh and Prof. Valeriy Shevchenko for posing the problem of counting threshold functions and related invaluable discussions, and Keith Conrad for reviewing an earlier version of the paper.

References

- [1] HAROLD ABELSON, *Computational geometry of linear threshold functions*, Information and Control, 34 (1977), pp. 66–92.
- [2] S. A. GOLDMAN AND M. J. KEARNS, *On the Complexity of Teaching*, Journal of Computer and System Sciences, 50 (1995), pp. 20–31.
- [3] MOHAMAD H. HASSOUN, *Fundamentals of Artificial Neural Networks*, MIT Press, 1995.
- [4] A. A. IRMATOV, *Arrangements of Hyperplanes and the Number of Threshold Functions*, Acta Applicandae Mathematicae, 68 (2001), pp. 211–226.
- [5] A. A. IRMATOV AND Z. D. KOVIJANIC, *On the asymptotics of the logarithm of the number of threshold functions in k -valued logic*, Discrete Mathematics and Applications, 8 (1998), pp. 331–355.
- [6] SABURO MUROGA, *Threshold Logic and Its Applications*, Published by Wiley-Interscience, 1971.
- [7] S. MUROGA, T. TSUBOI, AND C.R. BAUGH, *Enumeration of threshold functions of eight variables*, IEEE Transactions on Computers, C-19 (1970), pp. 818–825.
- [8] P.C. OJHA, *Enumeration of linear threshold functions from the lattice of hyperplane intersections*, IEEE Transactions on Neural Networks, 11 (2000), pp. 839–850.
- [9] K. PRACHAR, *Primzahlverteilung*, Springer-Verlag, 1957.
- [10] V. N. SHEVCHENKO, *Qualitative Topics in Integer Linear Programming*, American Mathematical Society, 1997.

- [11] V. N. SHEVCHENKO AND N. YU. ZOLOTYKH, *On the complexity of deciphering the threshold functions of k -valued logic*, Dokl. Math., 58 (1998), pp. 268–270.
- [12] N. J. A. SLOANE, *On-Line Encyclopedia of Integer Sequences*, published electronically <http://www.research.att.com/~njas/sequences/>, (2009).
- [13] R. STANLEY, *Enumerative combinatorics*, vol. 1, Wadsworth & Brooks/Cole, 1986.
- [14] R.O. WINDER, *Enumeration of seven-argument threshold functions*, IEEE Transactions on Electronic Computers, EC-14 (1965), pp. 315–325.
- [15] N. YU. ZOLOTYKH AND V. N. SHEVCHENKO, *Estimating the complexity of deciphering a threshold functions in a k -valued logic*, Computational mathematics and mathematical physics, 39 (1999), pp. 328–334.
- [16] YU. A. ZUEV, *Asymptotics of the logarithm of the number of threshold functions of the algebra of logic*, Sov. Math., Dokl., 39 (1989), pp. 512–513.
- [17] ———, *Combinatorial-probabilistic and geometric methods in threshold logic*, Diskretn. Mat., 3 (1991), pp. 47–57.
- [18] J. ZUNIC, *On encoding and enumerating threshold functions*, IEEE Transactions on Neural Networks, 15 (2004), pp. 261–267.